

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/541,444
Filing Date	3/31/00
First Named Inventor:	Cota-Robles, et al.
Group Art Unit	2763
Examiner Name	Unassigned
Attorney Docket Number	042390.P7920

Sheet

1

of

2

Attorney Docket Number

042390.P7920

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

George L. Opie

Date Considered

$$8 \mid 16 \mid 0.5$$

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/541,444
				Filing Date	3/31/00
				First Named Inventor:	Cota-Robles, et al.
				Group Art Unit	2763
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	042390.P7920
OTHER ART – NO PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
GO		"Information Display Technique for a Terminate Stay Resident Program," IBM Technical Disclosure Bulletin, TDB-ACC-No. NA9112156, 12/1/91, pp. 156-158, Vol. 34, Issue No. 7A			
GO		KARGER, PAUL A., et al., "A VMM Security Kernel for the VAX Architecture," Proceedings of the Symposium on Research in Security and Privacy, 5/7/1990, pp. 2-19, XP010020182, ISBN: 0-8186-2060-9, Boxborough, MA.			
GO		CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor," 7th Annual IEEE Symposium, FCCM '99 Proceedings 4/21/1999, pp. 209-221, XP010359180, ISBN: 0-7695-0375-6, Los Alamitos, CA.			

Examiner Signature	George L. O'Pie	Date Considered	8/16/05
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8/20/03

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Real-Time Scheduling of Virtual Machines

Application Number: 09/541444

09/541444

Confirmation Number: 3693

First Named Applicant: Erik Cota-Robles

Attorney Docket Number: 42P07920

Art Unit: 2763

Examiner: Unknown Unknown

Search string: (4247905 or 4347565 or 5319760 or 5437033 or 5511217 or 5522075 or 5737604 or 5854913 or 6075938 or 6182089 or 6272637 or 6314409 or 6374317 or 6397242).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Go	1	4247905	1981-01-27	Yoshida, Yukihiro , et al.			
	2	4347565	1982-08-31	Kaneda, Saburo , et al.			
	3	5319760	1994-06-07	Mason, Andrew H., et al.			
	4	5437033	1995-07-25	Inoue, Taro , et al.			
	5	5511217	1996-04-23	Nakajima, Atsushi , et al.			
	6	5522075	1996-05-28	Robinson, Paul T., et al.			
	7	5737604	1998-04-07	Miller, David A., et al.			
	8	5854913	1998-12-29	Goetz, John W., et al.			
	9	6075938	2000-06-13	Bugnion, Edouard , et al.			
	10	6182089	2001-01-30	Ganapathy, Narayanan , et al.			
	11	6272637	2001-08-07	Little, Wendell L., et al.			
	12	6314409	2001-11-06	Schneck, Paul B., et al.			
	13	6374317	2002-04-16	Ajanovic, Jasmin , et al.			
Go	14	6397242	2002-05-28	Devine, Scott W., et al.			

Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please

charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name	Date
George L. O'Neil	8/16/05

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention Real-Time Scheduling of Virtual Machines

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Confirmation Number: 3693

First Named Applicant: Erik Cota-Robles

Attorney Docket Number: 42P07920

Art Unit: Unknown

Examiner: Unknown Unknown

Search string: (20010027527 or 4319323 or 4759064 or 5007082 or 5317705 or 5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6115816 or 6212635 or 6222923 or 6269392 or 6330670 or 6357004 or 6363485 or 6378072 or 6389537 or 6445797 or 6463535 or 6463537 or 6535988 or 6557104 or 6633981).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
GO	1	20010027527	2001-10-04	Khidekel, Yuri , et al.			
	2	4319323	1982-03-09	Ermolovich, Thomas R., et al.			
	3	4759064	1988-07-19	Chaum,			
	4	5007082	1991-04-09	Cummins, Mary T.			
	5	5317705	1994-05-31	Gannon, Patrick M., et al.			
	6	5459867	1995-10-17	Adams, Phillip M., et al.			
	7	5504922	1996-04-02	Seki, Yukihiko , et al.			
	8	5606617	1997-02-25	Brands, Stefanus A.			
	9	5901225	1999-05-04	Ireton, Mark A., et al.			
	10	5970147	1999-10-19	Davis, Derek L., et al.			
	11	6061794	2000-05-09	Angelo, Michael E.			
	12	6115816	2000-09-05	Davis, Derek L.			
	13	6212635	2001-04-03	Reardon, David C.			
	14	6222923	2001-04-24	Schwenk, Joerg			
	15	6269392	2001-07-31	Cotichini, Christian , et al.			
	16	6330670	2001-12-11	England, Paul , et al.			
GO	17	6357004	2002-03-12	Davis, Derek L.			

60	18	6363485	2002-03-26	Adams, Carlisle
	19	6378072	2002-04-23	Collins, Thomas , et al.
	20	6389537	2002-05-14	Davis, Derek L., et al.
	21	6445797	2002-09-03	McGough, Paul , et al.
	22	6463535	2002-10-08	Drews, Paul C., et al.
	23	6463537	2002-10-08	Tello, Jose A.
	24	6535988	2003-03-18	Poisner, David L.
	25	6557104	2003-04-29	Vu, Son T., et al.
60	26	6633981	2003-10-14	Davis, Derek L.

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Signature

Examiner Name	Date
George L. O'Neil	8/16/05

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	Application Number	09/541,444
	Filing Date	March 31, 2000
	First Named Inventor	Cota-Robles
	2763	2131
	Examiner Name	Unknown
Sheet 1 of 1	Attorney Docket No: 42P7920	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	DE-DE4217444	12/03/1992	Toyohisa, Imada , et al.			abs

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
GO		BRANDS, STEFAN , "Restrictive Blinding of Secret-Key Certificates", <u>SPRINGER-VERLAG XP002201306</u> , (1995),Chapter 3	
		DAVIDA, GEORGE I., et al., "Defending Systems Against Viruses through Cryptographic Authentication", <u>Proceedings of the Symposium on Security and Privacy</u> , IEEE Comp. Soc. Press, ISBN 0-8186-1939-2,(May 1989),	
		KASHIWAGI, KAZUHIKO , et al., "Design and Implementation of Dynamically Reconstructing System Software", <u>Software Engineering Conference</u> , Proceedings 1996 Asia-Pacific Seoul, South Korea 4-7 Dec. 1996, Los Alamitos, CA USA, IEEE Comput. Soc. US, ISBN 0-8186-7638-8,(1996),	
		MENEZES, OORSCHOT , "Handbook of Applied Cryptography", <u>CRC Press LLC, USA XP002201307</u> , (1997),475	
GO		SHERWOOD, TIMOTHY , et al., "Patchable Instruction ROM Architecture", <u>Department of Computer Science and Engineering, University of California, San Diego, La Jolla, CA</u> , (Nov. 2001), 24-33	

EXAMINER	George L. O'Pie	DATE CONSIDERED	8/16/05
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Real-Time Scheduling of Virtual Machines

Application Number: 09/541444

09/541444

Confirmation Number: 3693

First Named Applicant: Erik Cota-Robles

Attorney Docket Number: 42P07920

Art Unit: 2126

Examiner: George L. Opie

Search string: (3996449 or 4430709 or 5469557 or 5956408 or 5978475 or 6044478 or 6088262 or 6175924).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Go	1	3996449	1976-12-07	Attanasio, Clement R., et al.			
	2	4430709	1984-02-07	Schleupen, Richard , et al.			
	3	5469557	1995-11-21	Salt, T., et al.			
	4	5956408	1999-09-21	Arnold, Todd W.			
	5	5978475	1999-11-02	Schneier, Bruce , et al.			
	6	6044478	2000-03-28	Green, Daniel W.			
	7	6088262	2000-07-11	Nasu, Hiroaki			
Go	8	6175924	2001-01-16	Arnold, Todd W.			

Signature

Examiner Name	Date
George L. Opie	8/16/05

6/29/04

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Real-Time Scheduling of Virtual Machines

Application Number: 09/541444

09/541444

Confirmation Number: 3693

First Named Applicant: Erik Cota-Robles

Attorney Docket Number: 42P07920

Art Unit: 2126

Examiner: George Opie

Search string: (3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6327652 or 6397379 or 6529909 or 6560627 or 6609199 or 6615278 or 6651171 or 6684326).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Go	1	3699532	1972-10-17	Schaffer, Harry G., et al.			
	2	4207609	1980-06-10	Luiz, Fernando A., et al.			
	3	4319233	1982-03-09	Matsuoka, Michihiro , et al.			
	4	4403283	1983-09-06	Myntti, Jon N., et al.			
	5	4419724	1983-12-06	Branigin, Michael H., et al.			
	6	5237616	1993-08-17	Abraham, Dennis G., et al.			
	7	5287363	1994-02-15	Wolf, Paul I., et al.			
	8	5560013	1996-09-24	Scalzi, Casper A., et al.			
	9	5604805	1997-02-18	Brands, Stefanus A.			
	10	5633929	1997-05-27	Kaliski, Jr., Burton S.			
	11	5668971	1997-11-16	Neufeld, E. D.			
	12	5684948	1997-11-04	Johnson, James S., et al.			
	13	5706469	1998-01-06	Kobayashi, Souichi			
	14	5740178	1998-04-14	Jacks, Steven A., et al.			
	15	5752046	1998-05-12	Oprescu, Florin , et al.			
Go	16	5809546	1998-09-15	Greenstein, Paul G., et al.			

Go	17	5825880	1998-10-20	Sudia, Frank W., et al.
	18	5919257	1999-07-06	Trostle, Jonathan
	19	5935242	1999-08-10	Madany, Peter W., et al.
	20	5935247	1999-08-10	Pai, Hsin-Ying , et al.
	21	6035374	2000-03-07	Panwar, Ramesh , et al.
	22	6093213	2000-07-25	Favor, John G., et al.
	23	6108644	2000-08-22	Goldschlag, David M., et al.
	24	6131166	2000-10-10	Wong-Isley, Becky
	25	6199152	2001-03-06	Kelly, Edmund J., et al.
	26	6327652	2001-12-04	England, Paul , et al.
	27	6397379	2002-05-28	Yates, Jr., John S., et al.
	28	6529909	2003-03-04	Bowman-Amuah, Michel K.
	29	6560627	2003-05-06	McDonald, Michael F., et al.
	30	6609199	2003-08-19	DeTreville, John
	31	6615278	2003-09-02	Curtis, Bryce A.
	32	6651171	2003-11-18	England, Paul , et al.
Go	33	6684326	2004-01-27	Cromer, Daryl C., et al.

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Examiner Name	Date
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6/29/04

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	09/541,444
	Filing Date	April 14, 2000
	First Named Inventor	Cota-Robles, Erik
	Group Art Unit	2126
	Examiner Name	Opie, George
Sheet 1 of 2	Attorney Docket No: 42P07920	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
GO	EP-EP0892521	01/20/1999	Zamek, Steven			
	EP-EP1055989	11/29/2000	Proudlar, Graeme J., et al.			
	EP-EP1056014	11/29/2000	Proudlar, Graeme J., et al.			
	WO-WO0201794	01/03/2002	Ellison, Carl			
	WO-WO02060121	08/01/2002	Grawrock, David W.			
	WO-WO03058412	07/17/2003	Glew, Andrew , et al.			
	WO-WO9812620	03/26/1998	Nishiuchi, Taiki , et al.			
GO	WO-WO9918511	04/15/1999	Edrich, David R.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
GO		COULOURIS, GEORGE , et al., "Distributed Systems, Concepts and Designs", 2nd Edition, (1994),422-424	
		CRAWFORD, JOHN , "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86)</u> , (October 6, 1986),155-160	
		FABRY, R.S. , "Capability-Based Addressing", Fabry, R.S., "Capability-Based Addressing," <u>Communications of the ACM</u> , Vol. 17, No. 7, (July 1974),403-412	
		FRIEDER, GIDEON , "The Architecture And Operational Characteristics of the VMX Host Machine", <u>The Architecture And Operational Characteristics of the VMX Host Machine</u> , IEEE, (1982),9-16	
		INTEL CORPORATION, "IA-64 System Abstraction Layer Specification", <u>Intel Product Specification</u> , Order Number 245359-001, (01/2000),1-112	
		INTEL CORPORATION, "Intel IA-64 Architecture Software Developer's Manual", Volume 2: IA-64 System Architecture, Order Number 245318-001, (01/2000),i, ii, 5.1-5.3, 11.1-11.8, 11.23-11.26	
		MENEZES, ALFRED J., et al., "Handbook of Applied Cryptography", <u>CRC Press Series on Discrete Mathematics and its Applications</u> , Boca Raton, FL, XP002165287, ISBN 0849385237,(Oct. 1996),403-405, 506-515, 570	
		NANBA, S. , et al., "VM/4: ACOS-4 Virtual Machine Architecture", <u>VM/4: ACOS-4 Virtual Machine Architecture</u> , IEEE, (1985),171-178	
		RSA SECURITY, "Hardware Authenticators", <u>www.rsasecurity.com/node.asp?id=1158</u> , 1-2	
		RSA SECURITY, "RSA SecurID Authenticators", <u>www.rsasecurity.com/products/securid/datasheets/SID_DS_0103.pdf</u> , 1-2	
GO		RSA SECURITY, "Software Authenticators", <u>www.rsasecurity.com/node.asp?id=1313</u> , 1-2	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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	Application Number	09/541,444
	Filing Date	April 14, 2000
	First Named Inventor	Cota-Robles, Erik
	Group Art Unit	2126
	Examiner Name	Opie, George
Sheet 2 of 2	Attorney Docket No: 42P07920	

GO		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002939871; ISBN 0471117099,(Oct. 1995),47-52	
GO		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002138607; ISBN 0471117099,(Oct. 1995),56-65	
GO		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithms, and Source Code C", Wiley, John & Sons, Inc., XP002111449; ISBN 0471117099,(Oct. 1995),169-187	
GO		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithms, and Source Code in C", 2nd Edition; Wiley, John & Sons, Inc., XP002251738; ISBN 0471128457,(Nov. 1995),28-33; 176-177; 216-217; 461-473; 518-522	

EXAMINER

George L. Opie

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5/13/05

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			Filing Date	March 31, 2000	
			First Named Inventor	Erik C. Cota-Robles	
			Art Unit	2126	
			Examiner Name	George L. Opie	
Sheet	1	of	2	Attorney Docket Number	42390P7920

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code‡ (if known)			
Go		US-2003/0074548 A1	04-17-2003	Cromer, et al.	
		US-2003/0188179 A1	10-02-2003	Challener, et al.	
		US-2004/0117539 A1	06-17-2004	Bennett, et al.	
		US-4,802,084	01-31-1989	Ikegaya, et al.	
		US-4,975,836	12-04-1990	Hirosawa, et al.	
		US-5,187,802	02-16-1993	Inoue, et al.	
		US-5,295,251	03-15-1994	Wakui, et al.	
		US-5,361,375	11-01-1994	Ogi	
		US-5,506,975	04-09-1996	Onodera	
	Go		US-5,564,040	10-08-1996	Kubala
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code‡ - Number§ - Kind Code¶ (if known)				
Go		0 473 913 A2	03-11-1992	Farrell		

Examiner Signature	George L. Opie	Date Considered	8/16/05
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†Applicant's unique citation designation number (optional). ‡See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. §Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¶For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ¶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/541,444
				Filing Date	March 31, 2000
				First Named Inventor	Erik C. Cota-Robles
				Art Unit	2126
				Examiner Name	George L. Opie
				Attorney Docket Number	42390P7920
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T‡
Go		ROBIN, JOHN S., et al., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor", Proceedings of the 9th USENIX Security Symposium, XP002247347, Denver, Colorado, (8/14/00), 1-17.	

Examiner Signature	George L. Opie	Date Considered	8/16/05
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.
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